



Optimization of Horizontal Aggregation in SQL by Using K-Means Clustering

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Abstract: To analyze data efficiently, Data mining systems are widely using datasets with columns in horizontal tabular layout. Preparing a data set is more complex task in a data mining project, requires many SQL queries, joining tables and aggregating columns. Conventional RDBMS usually manage tables with vertical form. Aggregated columns in a horizontal tabular layout returns set of numbers, instead of one number per row. The system uses one parent table and different child tables, operations are then performed on the data loaded from multiple tables. PIVOT operator, offered by RDBMS is used to calculate aggregate operations. PIVOT method is much faster method and offers much scalability. Partitioning large set of data, obtained from the result of horizontal aggregation, in to homogeneous cluster is important task in this system. K-means algorithm using SQL is best suited for implementing this operation.

Keywords: Aggregation, Data Mining, Structured query language (SQL), PIVOT, K-means algorithm.

I. INTRODUCTION

Horizontal aggregation is new class of function to return aggregated columns in a horizontal layout. Most algorithms require datasets with horizontal layout as input with several records and one variable or dimensions per columns. Managing large data sets without DBMS support can be a difficult task. Trying different subsets of data points and dimensions is more flexible, faster and easier to do inside a relational database with SQL queries than outside with alternative tool. Horizontal aggregation can be performing by using operator, it can easily be implemented inside a query processor, much like a select, project and join. PIVOT operator on tabular data that exchange rows, enable data transformations useful in data modelling, data analysis, and data presentation

There are many existing functions and operators for aggregation in Structured Query Language. The most commonly used aggregation is the sum of a column and other aggregation operators return the average, maximum, minimum or row count over groups of rows. All operations for aggregation have many limitations to build large data sets for data mining purposes. Database schemas are also highly normalized for On-Line Transaction Processing (OLTP) systems where data sets that are stored in a relational database or data warehouse. But data mining, statistical or machine learning algorithms generally require aggregated data in summarized form. Data mining algorithm requires suitable input in the form of cross tabular (horizontal) form, significant effort is required to compute

aggregations for this purpose. Such effort is due to the amount and complexity of SQL code which needs to be written, optimized and tested.

Data aggregation is a process in which information is gathered and expressed in a summary form, and which is used for purposes such as statistical analysis. A common aggregation purpose is to get more information about particular groups based on specific variables such as age, name, phone number, address, profession, or income. Most algorithms require input as a data set with a horizontal layout, with several records and one variable or dimension per column. That technique is used with models like clustering, classification, regression and PCA. Dimension used in data mining technique are point dimension.

There are several advantages for horizontal aggregation. First one is horizontal aggregation represent a template to generate SQL code from a data mining tool. This SQL code reduces manual work in the data preparation phase in data mining related project. Second is automatically generated code, which is more efficient than end user written SQL code. Thus datasets for the data mining projects can be created in less time. Third advantage is the data sets can be created entirely inside the DBMS. K-means clustering algorithms are used to cluster the attribute, that attribute is the result of horizontal aggregation.

The rest of the paper is organized as follows. Next part presents clustering of aggregated dataset and different methods existing for aggregation and Conclusion.

II. RELATED WORK

SQL extensions to define aggregate functions for association rule mining. Their optimizations have the purpose of avoiding joins to express cell formulas, but are not optimized to perform partial transposition for each group of result rows. Conor Cunningham [1] proposed an optimization and Execution strategies in an RDBMS which uses two operators i.e., PIVOT operator on tabular data that exchange rows and columns, enable data transformations useful in data modelling, data analysis, and data presentation. They can quite easily be implemented inside a query processor system, much like select, project, and join operator. Such a design provides opportunities for better performance, both during query optimization and query execution. Pivot is an extension of Group By with unique restrictions and optimization opportunities, and this makes it very easy to introduce incrementally on top of existing grouping implementations. H Wang, C. Zaniolo [2] proposed a small but Complete SQL Extension for data Mining and Data Streams. This technique is a powerful database language and system that enables users to develop complete data-intensive applications in SQL by writing new aggregates and table functions in SQL, rather than in procedural languages as in current Object-Relational systems. The ATLaS system consist of applications including various data mining functions, that have been coded in ATLaS' SQL, and execute with a modest (20–40%) performance overhead with respect to the same applications written in C/C++. This system can handle continuous queries using the schema and queries in Query Repository. Sarawagi, S. Thomas, and R. Agrawal [3] proposed integrating association rule mining with relational database systems. Integrating Association rule mining include several method. Loose - coupling through a SQL cursor interface is an encapsulation of a mining algorithm in a stored procedure. Second method is caching the data to a file system on-the-fly and mining tight-coupling using primarily user-defined functions and SQL implementations for processing in the DBMS. Loose-coupling and Stored-procedure architectures: For the loose-coupling and Stored-procedure architectures, can use the implementation of the Apriori algorithm for finding association rules. C. Ordonez [4] proposes an Integration of K-means clustering with a relational DBMS using SQL. This technique consist of three SQL implementations. First step is a straightforward translation of K-means computations into SQL, and an optimized version based on improved data organization, efficient indexing, sufficient statistics, and rewritten queries, and an incremental version that uses the optimized version as a building block with fast convergence and automated reseeded. The first implementation is a straightforward translation of K-means computations into SQL, which

serves as a framework to build a second optimized version with superior performance. The optimized version is then used as a building block to introduce an incremental K-means implementation with fast convergence and automated reseeded. G. Graefe, U. Fayyad, and S. Chaudhuri [5] introduced efficient gathering of sufficient statistics for classification from large SQL Databases. This technique use a SQL operator (Unpivot) that enables efficient gathering of statistics with minimal changes to the SQL backend. Need a set of counts for the number of co-occurrences of each attribute value with each class variable. In classification the number of attribute values is not large (in the hundreds) the size of the counts table is fairly small. Continuous-valued attributes are discretized into a set of intervals. The most familiar selection measures used in classification do not require the entire data set, but only sufficient statistics of the data. A straightforward implementation for deriving the sufficient statistics on a SQL database results in unacceptably poor performance. The problem of optimizing queries with outer joins is not new. Optimizing joins by reordering operations and using transformation rules is studied. This work does not consider optimizing a complex query that contains several outer joins on primary keys only, which is fundamental to prepare data sets for data mining. Traditional query optimizers use a tree based execution plan, but the use of hyper-graphs to provide a more comprehensive set of potential plans. J. Gray, A. Bosworth, A. Layman, and H. Pirahesh [6] proposed a relational aggregation operator that generalizing Group-By, Cross-Tab, and Sub-Totals. The cube operator generalizes the histogram, cross tabulation, roll-up, drill-down, and sub-total constructs. The cube operator can be imbedded in more complex non-procedural data analysis programs and data mining. The cube operator treats each of the N aggregation attributes as a dimension of N-space. The aggregate of a particular set of attribute values is a point in this space and the set of points forms an N-dimensional cube. Super-aggregates are computed by aggregating the N-cube to lower dimensional spaces. Creating a data cube requires generating the power set (set of all subsets) of the aggregation columns. Since the CUBE is an aggregation operation, it makes sense to externalize it by overloading the SQL GROUP BY operator. G. Luo, J.F. Naughton, C.J. Ellmann, and M. Watzke [7] proposed Immediate materialized view introduces many lock conflicts or deadlocks. System results in low level of concurrency and high level of deadlocks. To solve the materialized view update problem V-locks (View locks) augment with a "value-based" latch pool. Direct Propagate Updates propagate updates on base relations directly to the materialized view without computing any join operator. Granularity and the No-Lock Locking Protocol locks have some interesting properties with respect to granularity and concurrency. Finer granularity locking results in higher concurrency. In the no-lock locking protocol, like the V locking protocol, updaters of the materialized view must get X locks on the tuples in the base relations they update

and S locks on the tuples in the other base relations mentioned in the view. Xiang Lian and Lei Chen [9] analyzed cost models for evaluating dimensionality reduction in high-dimensional Spaces. This model is general cost models for evaluating the query performance over the reduced data sets by GDR, LDR, and ADR, in light of which we introduce a novel (A) LDR method, Partitioning based on Randomized Search (RANS). Formal cost models to evaluate the effectiveness and efficiency of GDR, LDR, and ADR for range queries. Furthermore, we present a novel partitioning based (A) LDR approach, PRANS, which is based on our cost model and can achieve good query performance in terms of the pruning power. Extensive experiments have verified the correctness of our cost models and indicated that compared to the existing LDR method, can result in partitions with a lower query cost. C. Ordonez [10] introduced techniques to efficiently compute fundamental statistical models inside a DBMS exploiting User-Defined Functions (UDFs). Two summary matrices on the data set are mathematically shown to be essential for all models: the linear sum of points and the quadratic sum of cross products of points. Introduce efficient SQL queries to compute summary matrices and score the data set. Based on the SQL framework, introduce UDFs that work in a single table scan. Aggregate UDFs to compute summary matrices for all models and a set of primitive scalar UDFs are used to score data sets. C. Ordonez [11] proposed two SQL aggregate functions to compute percentages addressing many limitations. The first function returns one row for each percentage in vertical form and the second function returns each set of percentages adding 100% on the same row in horizontal form. These novel aggregate functions are used as to introduce the concept of percentage queries and to generate efficient SQL code in data mining related works. Queries using percentage aggregations are called percentage queries. Two practical issues were identified when computing vertical percentage queries. First issue is missing rows and second issue is division by zero.

III. EXECUTION STRATEGIES IN HORIZONTAL AGGREGATION

Horizontal aggregations propose a new class of functions that aggregate numeric expressions and the result are transposed to produce data sets with a horizontal layout.

The operation is needed in a number of data mining tasks, such as unsupervised classification and data summation, as well as segmentation of large heterogeneous data sets into smaller homogeneous subsets that can be easily managed, separately modelled and analyzed. To create datasets for data mining related works, efficient and summary of data are needed. For that this proposed system collect particular needed attributes from the different fact tables and displayed columns in order to create date in horizontal layout. Main goal is to define a template to generate SQL code combining aggregation and transposition (pivoting). A second goal is to extend the SELECT statement with a clause that combines transposition with aggregation. Consider the following GROUP BY query in standard SQL that takes a subset $L1, \dots, Lm$ from $D1, \dots, Dp$:

```
SELECT L1, ..., Lm, sum (A) FROM F1,F2 GROUP BY L1, Lm;
```

In a horizontal aggregation there are four input parameters to generate SQL code:

- 1) The input table F_1, F_2, \dots, F_n
- 2) The list of GROUP BY columns L_1, \dots, L_j ,
- 3) The column to aggregate (A),
- 4) The list of transposing columns R_1, \dots, R_k .

This aggregation query will produce a wide table with $m+1$ columns (automatically determined), with one group for each unique combination of values L_1, \dots, L_m and one aggregated value per group (i.e., $\text{sum}(A)$). In order to evaluate this query the query optimizer takes three input parameters. First parameter is the input table F. Second parameter is the list of grouping columns L_1, \dots, L_m . And the final parameter is the column to aggregate (A).

Example

In the Fig.1 there is a common field K in F_1 and F_2 . In F_2 , D_2 consist of only two distinct values X and Y and is used to transpose the table. The aggregate operation is used in this is $\text{sum}()$. The values within D_1 are repeated, 1 appears 3 times, for row 3, 4 and, and for row 3 & 4 value of D_2 is X & Y. So D_2X and D_2Y is newly generated columns in F_H .

| K | D ₁ | D ₂ |
|---|----------------|----------------|
| 1 | 3 | X |
| 2 | 2 | Y |
| 3 | 1 | Y |
| 4 | 1 | Y |
| 5 | 2 | X |
| 6 | 1 | X |
| 7 | 3 | X |
| 8 | 2 | X |

| K | A |
|---|------|
| 1 | 9 |
| 2 | 6 |
| 3 | 10 |
| 4 | 0 |
| 5 | 1 |
| 6 | Null |
| 7 | 8 |
| 8 | 7 |

| D ₁ | D ₂ X | D ₂ Y |
|----------------|------------------|------------------|
| 1 | Null | 10 |
| 2 | 8 | 6 |
| 3 | 17 | null |

F₁
F₂
F_H

Fig 1. An example of Horizontal aggregation

Commonly using Query Evaluation methods in Horizontal aggregation functions [12] are

SPJ method

The SPJ method is based on only relational operators. The basic concept in SPJ method is to build a table with vertical aggregation for each resultant column. To produce Horizontal aggregation F_H system must join all those tables. There are two sub-strategies to compute Horizontal aggregation. First strategy includes direct calculation of aggregation from fact table. Second one compute the corresponding vertical aggregation and store it in temporary table F_V grouping by LE₁,...,LE_i,RI₁,...,RI_j then F_H can be computed from F_V.

To get F_H system need n left outer join with n+1 tables so that all individual aggregations are properly assembled as a set of n dimensions for each group. Null should be set as default value for groups with missing combinations for a particular group.

```

INSERT INTO FH
SELECT F0. LE1 , F0. LE2 , ..., F0. LEj,
F1.A, F2.A, ..., Fn.A
FROM F0
LEFT OUTER JOIN F1
ON F0. LE1= F1. LE1 and. . . and F0. LEj= F1. LEj
LEFT OUTER JOIN F2
ON F0. LE1= F2. LE1 and. . . and F0. LEj= F2. LEj
. . . .
LEFT OUTER JOIN Fn
ON F0. LE1= Fn. LE1 and. . . and F0. LEj= Fn. LEj
    
```

It is easy to see that left outer join is based on same columns. This strategy basically needs twice I/O operations by doing updates rather than insertion.

CASE method

In SQL build-in “case” programming construct are available, it returns a selected value rather from a set of values based on Boolean expression. Queries for F_H can be evaluated by performing direct aggregation form fact table F and at the same time rows are transposing to produce the F_H.

```

SELECT DISTINCT RI1
FROM F;
INSERT INTO FH SELECT LE1,LE2,...,LEj,
V(CASE WHEN RI1=v11 and . . . Rk=vk1 THEN A ELSE
null END)
. . .
, V(CASE WHEN RI1=v1n and . . . Rk=vkn THEN A ELSE
null END)
FROM F
GROUP BY LE1,LE2,...LEj
    
```

PIVOT method

Pivot transforms a series of rows into a series of fewer numbers of rows with additional columns Data in one source column is used to determine the new column for a row, and another source column is used as the data for that new column. The wide form can be considered as a matrix of column values, while the narrow form is a natural encoding of a sparse matrix

In current implementation PIVOT operator is used to calculate the aggregations. One method to express pivoting uses scalar sub queries. Each pivoted is created through a separate sub query. PIVOT operator provides a technique to allow rows to columns dynamically at the time of query compilation and execution.

```

SELECT *FROM (Bill Table PIVOT (SUM (Amount)
for Month in ('Jan','Feb','Mar')
This query generate table with jan,feb and mar as column attribute and the sum of the amount of particular customer that are stored inside the Bill Table. The pivot method is
    
```

more efficient method than other two methods. Because the pivot operator internally calculates the aggregation operation and no need to create extra tables. So operation performed within this method is less compared to other methods

IV. INTEGRATING K-MEANS ALGORITHM WITH HORIZONTAL AGGREGATION

Clustering methods partition a set of objects into clusters such that objects in the same cluster are more similar to each other than objects in different clusters according to some defined criteria. Data mining applications frequently involve categorical data. The biggest advantage of these clustering algorithms is that it is scalable to very large data sets.

Even though the existing system presented the computation of the values for different attributes, it has some drawbacks. In the research of the horizontal aggregation, the existing systems are not well defined for the different fact tables that need better indexing and extraction.

Multiple fact tables: Constructing new data sets within the range of a discrete set of known data points we need different attributes from different fact tables. In many applications one often has a number of data values, obtained by experimentation, which stored on limited number of databases. It is often required to extract the particular useful attributes from the different fact tables and perform aggregation.

K-means: K-means is initialized from some random or approximate solution. Each step assigns each point to its nearest cluster and then points belonging to the same cluster are averaged to get new cluster centroids. Each step successively improves cluster centroids until they are stable. This is the standard version of K-Means technique used. Optimized K-means computes all Euclidean distances for one point in one I/O, exploits sufficient statistics, and stores the clustering model in a single table. Experiments evaluate performance with large data sets focusing on elapsed time per iteration.

The main issue here addressed is how to make efficient indexing of horizontal aggregation. Initially an aggregation operation is performed horizontal layout are creating by using pivot operator. In this a k-means algorithm are implementing to create datasets with horizontal layout as input.

A. ALGORITHM DESIGN

The algorithm is designed as follows: K-means algorithm based on classification technique uses horizontal aggregation as input. Pivot operator is used to calculate the aggregation of particular data values from distinct fact tables. Optimization provides for PIVOT for large number of fact table. The database connectivity and

choosing different tables with .mdb extension is the first step in this system.

Horizontal aggregation can be evaluated by choosing transpose column and aggregate operation .Pivot operator automatically transforms table to horizontal layout. This is the main advantage of this particular algorithm

The k-means algorithm is the best-known squared error based clustering algorithm with input as horizontal aggregation The algorithm consist of mainly four steps.1) Selection of the initial k means for k clusters from attribute of datasets obtained from horizontal aggregation operation.2) Calculation of the dissimilarity between an object and the Mean of a cluster.3) Allocation of an object to the cluster whose mean is nearest to the object.4) Re-calculation of the mean of a cluster from the objects allocated to it so that the intra cluster dissimilarity

B. EXPERIMENTAL STUDY

For the experimental studies data base file from Database are taken. Attributes having integer values along with aggregate operator are chosen. Most of the experiments are done in aggregated data set with attributes having many values.

Firstly an algorithm was developed to find horizontal aggregation values for different attributes using PIVOT operator. Transposing columns and aggregation operation are chosen before the query generator. To systematically study the performance of the algorithm, calculate the aggregation manually and make sure that the query result and calculated result are same. Secondly similar algorithm using different database table is developed. Join operator is used to extract attributes from different tables.

Table I. Dataset in Horizontal Layout

| Id | Amount | | | | | | | Total |
|----|--------|-----|-----|------|-----|-----|-----|-------|
| | Mon | Tue | Wed | Thur | Fri | Sat | Sun | |
| 10 | 150 | 123 | 222 | 157 | 345 | 278 | 187 | 1462 |
| 15 | 135 | 678 | 456 | 234 | 567 | 321 | 567 | 3527 |
| 20 | 121 | 145 | 120 | 234 | 214 | 289 | 125 | 1248 |
| . | | | | | | | | |
| . | | | | | | | | |

As next step, for attributes of horizontal aggregation i.e. having only integer values, a k-means clustering algorithm is developed and thereafter index for particular attributes are generated. By using clustering algorithm, system generated related aggregated result in one group and other related result in next group. For query optimization the distance computation and nearest cluster in the k-means are based on SQL.

V. CONCLUSIONS AND FUTURE WORK

This system extended the horizontal aggregations with k-means algorithm to cluster the aggregated column which help preparing datasets for data mining related work. Optimized k-means is significantly faster because of small data set run clustering outside the DBMS. Input to the system is data from multiple tables rather than single table used in traditional horizontal aggregation. Include Euclidean distance computation, pivoting a table to have one dimension value per row. Data manipulating operator Pivot is easy to compute for wide set of values. Pivot is an extension of Group By with unique restrictions and optimization opportunities, and this makes it easy to introduce incrementally on top of existing grouping implementation

In future, this work can be extended to develop a more formal model of evaluation methods to achieve better results. Also then we can be developing more complete I/O cost models.

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